CHARLES B. GORDON THOMAS P. SCHILLER DAVID B. DEIOMA JOSEPH J. CORSO HOWARD G. SHIMOLA JEFFREY J. SOPKO JOHN P. MURTAUGH JAMES M. MOORE MICHAEL W. GARVEY RICHARD A. SHARPE RONALD M. KACHMARIK PAUL A. SERBINOWSKI BRIAN G. BEMBENICK AARON A. FISHMAN

PEARNE & GORDON LLP

ATTORNEYS AT LAW 1801 EAST 9th STREET **SUITE 1200**

CLEVELAND, OHIO 44114-3108

TEL: (216) 579-1700

FAX: (216) 579-6073

EMAIL: ip@pearnegordon.com

WRITER'S DIRECT EMAIL: jmurtaugh@pearnegordon.com

STEPHEN S. WENTSLER ROBERT F. BODI SUZANNE B. GAGNON UNA L. LAURICIA STEVEN J. SOLOMON GREGORY D. FERNENGEL BRYAN M. GALLO BRAD C. SPENCER

<u>OF COUNSEL</u> LOWELL L. HEINKE THADDEUS A. ZALENSKI

<u>PATENT AGENT</u> TOMOKO ISHIHARA

PATENT. TRADEMARK COPYRIGHT AND RELATED INTELLECTUAL PROPERTY LAW

June30, 2006

Attn: The Certificate of Correction Branch Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Re:

U.S. Patent No. 7,042,199

JUL 10 2006

Issued:

May 9, 2006

Title:

Certificate

JUL 1 2 2006

of Correction SERIES CONNECTED BUCK-BOOST REGULATOR

Inventors:

Arthur G. Birchenough

Our Docket:

35279

Sir:

A Certificate of Correction under 35 U.S.C. 254 is hereby requested to correct Patent Office printing errors in the above-identified patent. Enclosed herewith is a proposed Certificate of Correction (Form No. PTO-1050) and documentation in support of the proposed corrections for consideration.

It is requested that the Certificate of Correction be completed and mailed at an early date to the undersigned attorney of record.

Respectfully submitted,

JPM/nra

Enclosures:

-Form PTO/SB/44

-Documentation in support

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

John P. Murtaugh

Name of Attorney for Applicant(s)

Signature of Attorney

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.

7,042,199

PAGE 1 OF 1

DATED

May 9, 2006

INVENTOR(S)

Arthur G. Birchenough

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the claims, claim 10, line 9, please delete ":" (colon) and insert therefor - - ; - - (semicolon).

MAILING ADDRESS OF SENDER: John P. Murtaugh

John P. Murtaugh
Pearne & Gordon LLP

1801 East 9th Street

Suite 1200

Cleveland, Ohio 44114-3108

PATENT NO. 7,042,199

No. of additional copies

→

0



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/629,875

Applicant : Arthur G. Birchenough

Filed : July 28, 2003

Title : SERIES CONNECTED BUCK-BOOST REGULATOR

TC/A.U. : 2838

Examiner : Matthew Van Nguyen

Confirmation No. : 5577

Docket No. : NLR 35279

Customer No. : 00116

<u>AMENDMENT</u>

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Amendment is in response to the Office action mailed June 27, 2005 having a three-month response date of September 27, 2005. Please amend the above-identified application as follows.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Amendments to the Drawings begin on page 7 of this paper and include one replacement sheet.

Remarks begin on page 8 of this paper.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

John P. Murtaugh

Name of Attorney for Applicant(s)

Signature of Atto

AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application.

Listing of Claims:

- 1. (cancelled)
- 2. (currently amended) The regulator of claim 1 further comprising A series connected buck-boost regulator comprising:

a control circuit;

a switching circuit for connecting to a source voltage;

an output circuit connected to said switching circuit and for outputting a load voltage, wherein

said control circuit controls said output circuit and said input circuit for operating said regulator in a plurality of modes including:

a current limiting (CL) mode;

a buck mode; and

a boost mode,

wherein only a fraction of an output power of said regulator is switched by said switching circuit during one or both of the buck and the boost modes;

and

- a transformer having a primary winding and a center-tapped secondary winding, wherein the center tap of said secondary winding is for connecting to the source voltage, and wherein said primary winding is connected to said switching circuit, and further wherein said secondary winding is connected to said output circuit.
- 3. (currently amended) The regulator of claim 1, A series connected buck-boost regulator comprising:

a control circuit;

a switching circuit for connecting to a source voltage;

an output circuit connected to said switching circuit and for outputting a load voltage, wherein

said control circuit controls said output circuit and said input circuit for operating said regulator in a plurality of modes including:

a current limiting (CL) mode;

a buck mode; and

a boost mode,

- wherein only a fraction of an output power of said regulator is switched by said switching circuit during one or both of the buck and the boost modes;
- and wherein said switching circuit includes a first switch, a second switch, a third switch, and a fourth switch in a bridge configuration, and further wherein said output circuit includes a series connected fifth and sixth switch connected to a series connected seventh and eighth switch.
- 4. (original) The regulator of claim 3, wherein, during said boost mode, said control circuit continuously turns on said fifth switch and said sixth switch, and further wherein said control circuit cycles through a plurality of boost states including:
 - a first boost state wherein the first, fourth, and seventh switches are on and further wherein the second, third, and eighth switches are off;
 - a second boost state wherein the seventh and eighth switches are on, and further wherein the first, second, third, and fourth switches are off; and
 - a third boost state wherein the second, third and eighth switches are on and further wherein the first, fourth, and seventh switches are off.
- 5. (original) The regulator of claim 3, wherein, during said buck mode, said control circuit continuously turns on said seventh switch and said eighth switch, and further wherein said control circuit cycles through a plurality of buck states including:
 - a first buck state wherein said first, fourth, and sixth switches are on and further wherein said second, third, and fifth switches are off;
 - a second buck state wherein said fifth and sixth switches are on, and further wherein said first, second, third, and fourth switches are off; and
 - a third buck state wherein said second, third, and fifth switches are on, and further wherein said first, fourth, and sixth switches are off.
- 6. (original) The regulator of claim 3, wherein, during said current limiting mode, said control circuit continuously turns off said first, second, third, and fourth switches, and further wherein said control circuit cycles through a plurality of CL states including:
 - a first CL state wherein said fifth, sixth, seventh, and eighth switches are on; and a second CL state wherein said fifth, sixth, seventh, and eighth switches are off.
- 7. (original) The regulator of claim 3, further comprising a ninth switch connected to said secondary winding which is turned off by said control circuit during said boost and said

buck modes, but is turned on during said CURRENT LIMITING mode to short out said secondary winding.

- 8. (original) The regulator of claim 3, wherein each switch has a diode placed in parallel.
 - 9. (original) The regulator of claim 8, further comprising:
 - a ninth switch connected to said center tap of said secondary winding which is turned off by said control circuit during said boost and said buck modes, but is turned on during said CURRENT LIMITING mode to short out said secondary winding;
 - a freewheeling diode connected between said output circuit and a ground;
 - a first transformer diode connecting a terminal of said secondary to said ninth switch;
 - a second transformer diode connecting another terminal of said secondary to said ninth switch; and
 - an inductor for connecting said output circuit to a load.
- 10. (original) The regulator of claim 9, wherein, during said boost mode, said control circuit continuously turns on said fifth switch and said sixth switch, and continuously turns off said ninth switch, and further wherein said control circuit cycles through a plurality of boost states including:
 - a first boost state wherein the first, fourth, and seventh switches are on and further wherein the second, third, and eighth switches are off;
 - a second boost state wherein the seventh and eighth switches are on, and further wherein the first, second, third, and fourth switches are off; and
 - a third boost state wherein the second, third and eighth switches are on and further wherein the first, fourth, and seventh switches are off;
 - and wherein, during said buck mode, said control circuit continuously turns on said seventh switch and said eighth switch, and continuously turns off said ninth switch, and further wherein said control circuit cycles through a plurality of buck states including:
 - a first buck state wherein said first, fourth, and sixth switches are on and further wherein said second, third, and fifth switches are off;
 - a second buck state wherein said fifth and sixth switches are on, and further wherein said first, second, third, and fourth switches are off; and
 - a third buck state wherein said second, third, and fifth switches are on, and further wherein said first, fourth, and sixth switches are off;

and further wherein, during said CURRENT LIMITING mode, said control circuit continuously turns off said first, second, third, and fourth switches, and continuously turns on said ninth switch, and further wherein said control circuit cycles through a plurality of CL states including:

a first CL state wherein said fifth, sixth, seventh, and eighth switches are on; and

a second CL state wherein said fifth, sixth, seventh, and eighth switches are off.

11. (currently amended) The regulator of claim 1, further comprising A series connected buck-boost regulator comprising:

a control circuit;

a switching circuit for connecting to a source voltage;

an output circuit connected to said switching circuit and for outputting a load voltage, wherein

said control circuit controls said output circuit and said input circuit for operating said regulator in a plurality of modes including:

a current limiting (CL) ாண்டு

a buck mode; and

a boost mode,

wherein only a fraction of an output power of said regulator is switched by

said switching circuit during one or both of the buck and the boost

modes;

and

a transformer having a primary winding and a center-tapped secondary winding, with the center tap for connecting to the source voltage, wherein

said switching circuit includes a first switch, a second switch, a third switch, and a fourth switch in a bridge configuration, said primary winding of said transformer connected to a center of said bridge, and further wherein said output circuit includes a series connected fifth and sixth switch connected to a terminal of said secondary winding and connected to a series connected seventh and eighth switch connected to another terminal of said secondary winding, and also wherein

a ninth switch is included in said regulator for shorting out said transformer secondary winding on command from said control circuit.



12. (original) The regulator of claim 11, wherein, during said boost mode, said control circuit continuously turns on said fifth switch and said sixth switch, and continuously turns off said ninth switch, and further wherein said control circuit cycles through a plurality of boost states including:

a first boost state wherein the first, fourth, and seventh switches are on and further wherein the second, third, and eighth switches are off; a second boost state wherein the seventh and eighth switches are on, and further wherein the first, second, third, and fourth switches are off; and a third boost state wherein the second, third and eighth switches are on and further wherein the first, fourth, and seventh switches are off; erein, during said buck mode, said control circuit continuously turns on said

and wherein, during said buck mode, said control circuit continuously turns on said seventh switch and said eighth switch, and continuously turns off said ninth switch, and further wherein said control circuit cycles through a plurality of buck states including:

a first buck state wherein said first, fourth, and sixth switches are on and further wherein said second, third, and fifth switches are off;

a second buck state wherein said fifth and sixth switches are on, and further wherein said first, second, third, and fourth switches are off; and a third buck state wherein said second, third, and fifth switches are on, and further wherein said first, fourth, and sixth switches are off;

and further wherein, during said CURRENT LIMITING mode, said control circuit continuously turns off said first, second, third, and fourth switches, and continuously turns on said ninth switch, and further wherein said control circuit cycles through a plurality of CL states including:

a first CL state wherein said fifth, sixth, seventh, and eighth switches are on; and a second CL state wherein said fifth, sixth, seventh, and eighth switches are off.

13-20. (cancelled)

AMENDMENTS TO THE DRAWINGS

The attached drawing sheet, which includes Figs. 7 and 8, replaces the originally filed drawing sheet including the same. Fig. 8 has been amended to include reference numeral "30".

Attachment:

One Replacement Sheet including Figs. 7 and 8

REMARKS

Applicant's counsel thanks the Examiner for the careful consideration given the present application.

Amendments to the Claims

The Examiner rejected claim 1 as being anticipated by Grosch (U.S. Pat. No. 4,578,630). Claim 1 has now been cancelled.

Claims 2-12 had been objected to as being dependent upon a rejected base claim but would be allowable if rewritten in independent form including all the limitations of the base claim and any intervening claims. Claims 2, 3, and 11 have been rewritten in independent form and now include all the limitations of rejected base claim 1. Original dependent claims 4-10 and 12 now depend from allowable base claims. Accordingly, claims 2-12 should now be allowed. The remaining claims have been cancelled.

No new matter has been introduced by way of this amendment.

Amendments to the Drawings

Fig. 8 has been amended per the Examiner's request to include reference number "30" to indicate the entire control circuit as described in subparagraph 0035 of the specification.

In view of the above amendments and remarks it is believed that the application is now in condition for allowance, which is respectfully requested.

If any additional fees are required by this communication, please charge such fees to our Deposit Account No. 16-0820, Order No. 35279.

Respectfully Submitted, PEARNE & GORDON LLP

By John P. Murtaugh, Reg. No. 34226

1801 East 9th Street Suite 1200 Cleveland, Ohio 44114-3108 (216) 579-1700

Date: 8-12 -05